

FY 2018 - 19 Adopted Budget and Multi-Year Capital Plan

Commission on Ethics and Public Trust

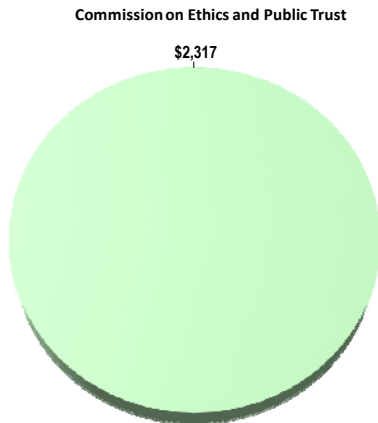
The Commission on Ethics and Public Trust (Ethics Commission) is an independent agency with advisory and quasi-judicial powers. The purpose of the Ethics Commission is to promote and enforce high standards of ethical conduct in government and to build and maintain confidence in public servants.

As part of the General Government strategic area, the Ethics Commission is dedicated to reinforcing public trust in the administration of government by informing County and municipal elected officials, the public, and the private sector about the Conflict of Interest and Code of Ethics laws and by seeking strict compliance with these laws. The Ethics Commission is authorized to investigate complaints and render advisory opinions related to the following County or municipal ordinances: Code of Ethics and Conflict of Interest, Lobbyist Registration and Reporting, Citizens' Bill of Rights, Ethical Campaign Practices, Whistleblowing, and the Public Service Honor Code. It also provides guidance on State of Florida Public Records and Government in the Sunshine laws. Community outreach and educational programs are also crucial components of the Ethics Commission's mission. The Ethics Commission hosts a wide array of programs to educate the public on issues concerning ethics, good governance, and accountability through town hall meetings, panel discussions, and training workshops, as well as local and national conferences and forums.

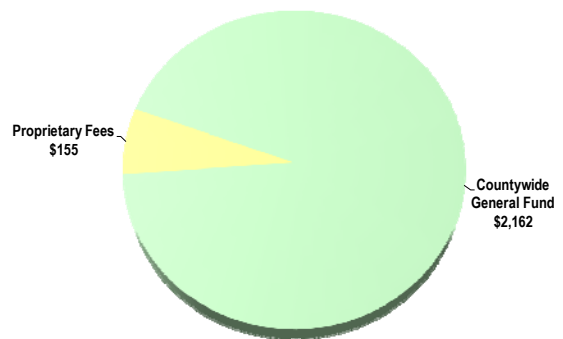
The Ethics Commission, by Board ordinance, has jurisdiction extending to municipalities within Miami-Dade County. Its jurisdiction also extends to certain lobbyists, contractors, and vendors. The Ethics Commission works closely with the Office of the Inspector General and the State Attorney's Office, as well as other criminal law enforcement agencies.

FY 2018-19 Adopted Budget

Expenditures by Activity (dollars in thousands)



Revenues by Source (dollars in thousands)



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TABLE OF ORGANIZATION

<u>OFFICE OF THE EXECUTIVE DIRECTOR</u>	
<ul style="list-style-type: none"> • Provides administrative support to the Ethics Commission; recommends legislative and policy initiatives that promote ethical government and accountability; reviews ethics opinions recommended by the legal unit; supervises and participates in ethics training programs for public officials, employees, and candidates for elected office • Initiates and supervises community outreach and education programs • Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government • Responds to requests for advisory opinions and handles legal matters that may impact the operations of the Ethics Commission • Conducts investigations of official/employee misconduct in County and municipal governments 	
<u>FY 17-18</u>	<u>FY 18-19</u>
13	13

The FY 2018-19 total number of full-time equivalent positions is 14.6 FTE

FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 15-16	Actual FY 16-17	Budget FY 17-18	Adopted FY 18-19
Revenue Summary				
General Fund Countywide	1,814	1,883	1,981	2,162
Carryover	303	320	119	0
Fees and Charges	61	82	65	85
Lobbyist Trust Fund	86	65	70	70
Total Revenues	2,264	2,350	2,235	2,317
Operating Expenditures Summary				
Salary	1,403	1,506	1,593	1,587
Fringe Benefits	389	477	482	531
Court Costs	0	0	0	0
Contractual Services	1	1	1	1
Other Operating	120	199	130	138
Charges for County Services	29	25	24	55
Capital	2	2	5	5
Total Operating Expenditures	1,944	2,210	2,235	2,317
Non-Operating Expenditures Summary				
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 17-18	Adopted FY 18-19	Budget FY 17-18	Adopted FY 18-19
Strategic Area: General Government				
Commission on Ethics and Public Trust	2,235	2,317	13	13
Total Operating Expenditures	2,235	2,317	13	13

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SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 15-16	Actual FY 16-17	Budget FY 17-18	Actual FY 17-18	Budget FY 18-19
Advertising	0	0	0	0	0
Fuel	1	1	1	1	1
Overtime	0	0	0	0	0
Rent	97	94	101	96	97
Security Services	1	0	1	1	1
Temporary Services	0	0	0	0	0
Travel and Registration	3	2	7	5	6
Utilities	3	14	6	18	13

DIVISION: COMMISSION ON ETHICS AND PUBLIC TRUST

The Commission on Ethics and Public Trust promotes and enforces high standards of ethical conduct in government and builds and maintains confidence in public servants

- Recommends legislative and policy initiatives that promote ethical government and accountability; liaises with the community through outreach activities, including speeches, media events, reports, and publications
- Tries cases before the Ethics Commission and refers cases for criminal prosecution or other disposition(s) with appropriate agencies
- Responds to requests for advisory opinions by officials, employees, and contractors under the authority of the Ethics Commission
- Conducts investigations of official and/or employee misconduct in County and municipal governments and processes complaints that are filed by the general public to be heard by the Ethics Commission
- Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government

Strategic Objectives - Measures

- GG1-3: Foster a positive image of County government

Objectives	Measures			FY 15-16	FY 16-17	FY 17-18	FY 17-18	FY 18-19
				Actual	Actual	Budget	Actual	Target
Improve the image of County Government	Number of complaints filed	IN	↔	40	29	40	51	35
	Number of requests for opinions and inquiries filed	IN	↔	292	386	375	353	365
	Number of investigations handled	OP	↔	108	83	100	92	95
	Number of Lobbyist Appeals*	IN	↔	45	55	45	10	45
	Number of Ethics trainings and workshops	OP	↔	379	389	425	393	410
	Percentage of County employees trained within the past three years	OC	↑	95%	97%	100%	100%	100%

*FY 2017-18 Actual reflects impact of additional outreach efforts that reduced the need for lobbyist appeals

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ADDITIONAL INFORMATION

- The Ethics Commission staff conducted its 7th Annual Ethical Governance Day during October 2018; the event places hundreds of volunteer speakers throughout high school classrooms in the County to address students on citizenship ethics; in addition, Ethics Commission staff will continue its involvement with a special student academy program for high school students throughout the County, as well as continuing ongoing student internships for students at local high schools and law schools; the staff plans to revive the Model Student Ethics program at selected local schools
- The Ethics Commission will continue to pursue legislative changes to strengthen County ordinances and rules to promote greater accountability and transparency; current efforts include adoption of the Public Service Honor Code by all municipalities and the proposed Civility Oath by the County
- The Ethics Commission will continue to issue public reports based on its investigations of ethical issues in local government, including recommendations for improving ethical conduct by public officials and employees
- The FY 2018-19 Adopted Budget includes a transfer of \$70,000, as required under Ordinance 10-56, from the Office of the Clerk Lobbyist Trust Fund to support ethics training and conference expenditures including but not limited to educational materials, food and non-alcoholic beverages, and personnel expenditures